

31064 U.S. PTO  
03/12/01

3-14-01

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new non-provisional applications under 37CFR§1.53(b))		Attorney Docket No.	KLR 7146.115
		First Inventor or Application Identifier	Sezan et al.
		Title	AUDIOVISUAL INFORMATION MANAGEMENT SYSTEM
		Express Mail Label No.	EL630674841US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
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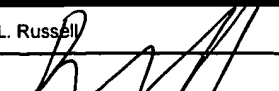
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total pages <b>70</b></span> (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Federally Sponsored Research - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer readable copy b. <input type="checkbox"/> Paper copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <span style="float: right;">[Total Pages <b>209</b>]</span>	<b>ACCOMPANYING APPLICATION PARTS</b>
4. Oath or Declaration <span style="float: right;">[Total Pages <b>2</b>]</span> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney when there is an assignee 9. <input type="checkbox"/> English translation document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 13. <input type="checkbox"/> *Small Entity Statements (PTO/sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired. 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other: Preliminary Amendment
* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)	

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/299,811

Prior application information: Examiner Kostak, V. Group No./Art Unit 2711

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Kevin L. Russell				
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Name (print type)	Kevin L. Russell	Registration No.	38,292		
Signature				Date	March 12, 2001

09/804612  
03/12/01

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known

Application  
Number

Filing Date

March 12, 2001

First Named  
Inventor

Sezan

Examiner Name

Group/ Art Unit

TOTAL AMOUNT OF PAYMENT

\$750

Attorney Docket  
No.

KLR:djs 7146.115

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account  
Number

03-1550

Deposit Account Name

Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

2. ☒ Payment Enclosed

☒ Check

☐ Credit Card

☐ Money Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$ Code (\$)

101 710 201 355

Utility filing fee

Fee Paid

\$710

106 320 206 160

Design filing fee

107 490 207 245

Plant filing fee

108 710 208 355

Reissue filing fee

114 150 214 75

Provisional filing fee

SUBTOTAL (1)

\$710

### 2. EXTRA CLAIM FEES

Extra Claims

Fee from below

Fee Paid

Total Claims 20 -20\*\* = 0 x 0 = 0

Indep. Claims 1 - 3\*\* = 0 x 0 = 0

Multiple Dependent

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$ Code (\$)

103 18 203 9

Claims in excess of 20

102 80 202 40

Independent claims in excess of 3

104 270 204 135

Multiple dependent claim, if not paid

109 80 209 40

\*\*Reissue independent claims over original patent

110 18 210 9

\*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$0

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$ Code (\$)

Fee Description

Fee Paid

105 130 205 65

Surcharge - late filing fee or oath

127 50 227 25

Surcharge-late provisional filing fee or cover sheet

139 130 139 130

Non-English specification

147 2,520 147 2,520

For filing a request for reexamination

112 920\* 112 920\*

Requesting publication of SIR prior to Examiner action

113 1840\* 113 1840\*

Requesting publication of SIR after Examiner action

115 110 215 55

Extension for reply within first month

116 390 216 195

Extension for reply within second month

117 890 217 445

Extension for reply within third month

118 1,390 218 695

Extension for reply within fourth month

128 1,890 228 945

Extension for reply within fifth month

119 310 219 155

Notice of Appeal

120 310 220 155

Filing a brief in support of an appeal

121 270 221 135

Request for oral hearing

138 1,510 138 1,510

Petition to institute a public use proceeding

140 110 240 55

Petition to revive - unavoidable

141 1,240 241 620

Petition to revive - unintentional

142 1,240 242 620

Utility issue fee (or reissue)

143 440 243 220

Design issue fee

144 600 244 300

Plant issue fee

122 130 122 130

Petitions to the Commissioner

123 50 123 50

Petitions related to provisional applications

126 240 126 240

Submission of Information Disclosure Statement

581 40 581 40

Recording each patent assignment per property (times number of properties)

\$40

146 710 246 355

Filing a submission after final rejection (37 C.F.R. 1.129(a))

149 710 249 355

For each additional invention to be examined (37 C.F.R. 1.129(b))

169 900 169 900

Request for Expedited Examination of a Design Application

Other (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40

## SUBMITTED BY

Complete (if applicable)

Name (print type)

Kevin L. Russell

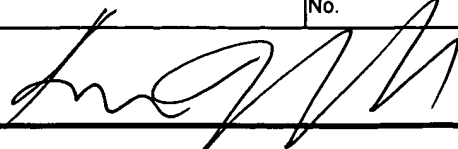
Registration  
No.

38,292

Telephone

(503) 227-5631

Signature



Date

March 12, 2001

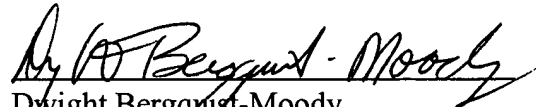
**CERTIFICATE OF MAILING  
BY EXPRESS MAIL**

JC973 U.S. PTO  
09/804612  
03/12/01

Express Mail No.: EL630674841US

Date of Deposit: March 12, 2001

I hereby certify that the patent application attached hereto entitled  
**AUDIOVISUAL INFORMATION MANAGEMENT SYSTEM**, Sezan et al., inventors, is  
being deposited with the United States Postal Service "Express Mail Post Office to Addressee"  
service on the date indicated above and is addressed to, The Honorable Commissioner for Patents  
and Trademarks, Box Patent Application, Washington, D.C. 20231.

  
Dwight Bergquist-Moody